

UAB Gift Acceptance Policy and Guideline for Publicly Traded Securities

UAB accepts gifts of publicly traded securities including stocks, bonds and mutual funds. This type of gift may be transferred electronically or delivered in certificate form. It is the University's general policy for all publicly traded securities to be sold upon receipt. All gifts of stock should be accompanied by a letter from the donor stating his/her intention to give the securities to UAB.

To receive prompt credit for your gift, please contact Virginia Gilbert Loftin, Director of Development for the Department of Medicine, at (205) 975-5602 with the following information:

- Your intention to make a gift to UAB
- The estimated transfer date
- The name of your securities firm
- The name and contact information of your broker
- The intended purpose of the gift

Electronic Transfer

UAB has established brokerage accounts at a number of local and national firms; however, our main account resides at Sterne Agee & Leach and all gifts of securities should be directed there if possible. Securities should be transferred to the following:

Sterne Agee & Leach
DTC 0750
A/C Name: UAB
A/C Number: 83298260
Attention: Peggy Cobb (205) 414-7377

Physical Delivery

Securities, with properly completed endorsement(s) or stock power(s), should be hand delivered to UAB. Contact John Pelliccio for instructions on how to properly prepare your documents. So that we may better serve you, please call and schedule an appointment to deliver these documents.

Contact Names and Information for Help in Making A Gift

Name	Area of Service	Address	Phone (205)	Fax (205)	Email
John S. Pelliccio	Securities and Electronic Transfer of Funds	701 20 th Street South, AB 338 Birmingham, AL 35294-0103	934-2172	975-9746	johnp@uab.edu
Virginia Gilbert Loftin	Gifts to the Department of Medicine	1808 7 th Avenue South, BDB 487 Birmingham, AL 35294-0012	975-5602	934-1477	vgloftin@uab.edu
Daphne Powell	Planned Giving and Stewardship	701 20 th Street South, AB 1270 Birmingham, AL 35294-0112	934-1807	934-7686	daphnep@uab.edu
Annette Forehand	Gift Records and Donor Services	701 20 th Street South, AB 1230 Birmingham, AL 35294-0112	975-9012	975-4756	forehand@uab.edu